

# Metasurfaces and Mie-resonant nanophotonics

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#### **My Current Institution**

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3

#### Jena, Thuringia









Ernst Abbe (1840 - 1905)



(1851-1935)



Carl Zeiss (1816 - 1888)



Amsterdam, 21.06.2019

## Outline

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- Motivation
- Optical properties of high-index dielectric nanoparticles
- Dielectric Huygens' metasurfaces
- Highlight talk
  - Active control of dielectric metasurfaces
  - Light emission from dielectric metasurfaces



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#### strong resonant response

magnetic response@ optical frequencies

sub-wavelength field confinement







#### **Key Concepts in Nanophotonics**

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**Optical Metamaterials** 

7

#### **Optical Nanoantennas**



#### **Graded Optical Metasurfaces**

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8

 Metasurfaces for wavefront manipulation enabled by designed subwavelength building blocks imposing a position dependent phase shift onto an incident light field



• Limited polarization conversion efficiencies

F. Aieta et al., Nano Lett. **12**, 4932 (2012).

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A. Kutznetsov et al., Sci. Rep. 2, 492 (2012).

#### Gustav Mie, Ann. Phys. 25, 377-445 (1908).

#### Mie-Theorie in a Nutshell

The scattered field of a single isolated dielectric sphere with radius a, size parameter  $x = k_0 a$  and relative refractive index  $n = n_p/n_m$  can be decomposed into a multipole series with the 2<sup>m</sup>-pole term of the scattered electric field proportional to:

$$a_m = \frac{n\Psi_m(nx)\Psi'_m(x) - \Psi_m(x)\Psi'_m(nx)}{n\Psi_m(nx)\Xi'_m(x) - \Xi_m(x)\Psi'_m(nx)}$$

And of the scattered magnetic field proportional to:

$$b_m = \frac{\Psi_m(nx)\Psi'_m(x) - n\Psi_m(x)\Psi'_m(nx)}{\Psi_m(nx)\Xi'_m(x) - n\Xi_m(x)\Psi'_m(nx)}$$

$$\Psi_m(\rho)$$
,  $\Xi_m(\rho)$ : Riccati-Bessel functions

Bohren & Hoffmann: Absorption & scattering of light by small particles Isabelle Staude Metasurfaces and Mie-resonant nanophotonics

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14

## **Mode Profiles**

15

Gustav Mie, Ann. Phys. 25, 377-445 (1908).



Electric field lines (transverse components) shown on the surface of an imaginary sphere concentric with but at a distance from the particle

#### **Near-Field Profiles**

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#### First four Mie-modes excited by an *x*-polarized plane wave

## **Extinction Cross Section**

- Connect to an observable quantity, the extinction cross section  $\sigma_{ext}$
- For non-absorbing nanoparticles:

$$\sigma_{ext} = \sigma_{\rm S} = \frac{2\pi}{k^2} \sum_{m=1}^{\infty} (2m+1)(|a_m|^2 + |b_m|^2)$$



Bohren & Hoffmann: Absorption & scattering of light by small particles Isabelle Staude Metasurfaces and Mie-resonant nanophotonics Abbe Center JENA

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#### Influence of the Nanoparticle Size

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A. Kutznetsov et al., Sci. Rep. 2, 492 (2012).

Small particle – high-refractiveindex limit, in air: Lowest order resonance of a particle at  $\lambda = 2na$ 

Corresponds to magnetic dipole term  $b_1$ 

Scaling law: Scattering response will not change as  $\frac{\lambda}{na}$  is kept constant  $\rightarrow$  useful insight for performing experiments at different frequency ranges

## A Few Words on Technology

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Spherical nanoparticles:

- Laser printing
- Trisilane decomposition

Other shapes:

- Lithographic approaches
  - electron-beam lithography
  - UV lithography
  - interference lithography
  - nanosphere lithography
- Focused ion beam milling
- Electron-beam deposition
- Dewetting schemes



ACS Phot. 2 913 (2015) Nat. Commun. 4, 1904 (2013).

Typically with reactive ion etching, but low-cost wet etch & atomic layer deposition were also demonstrated

## Standard 2D Silicon Nanofabrication

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20



#### Influence of the Nanoparticle Shape

- Mie theory formulated for spheres.
- Similar resonances ("Mie-type") are also found in particles having other shapes (cubes, cylinders...)
- Calculation using numerical techniques (FDTD, FEM,...)
- Opportunity to tailor the resonances by geometry Example: resonance positions of the electric and magnetic dipole mode of individual silicon nanocylinders (h = 220 nm,  $n_p = 3.5$ ,  $n_m = 1.5$ )



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#### **Refractive Index Dependence**

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Numerically calculated scattering cross section (in units of m<sup>2</sup>) of an individual nanodisk (height h = 220 nm, diameter d = 220 nm, incident wave vector oriented along the rotational symmetry axis of the nanodisk) in  $n_m = 1.5$  material

#### **Suitable Materials**

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## **Optical Properties of Silicon**

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c-Silicon: Green & Keevers, Progress in photovoltaics 3, 189-192 (1995). Silver: Johnson & Christy, Phys. Rev. B 6, 4370-4379 (1972).

#### **Efficiency at Resonance**



## **Comparison with Nanoplasmonics**

#### **Nanoplasmonics**



Depolarisation field

Free electrons in the conduction band

- Strong resonant response
- Strong field confinement
- Subwavelength dimensions
- Absorption losses
- Magnetic response
  - $\rightarrow$  complex geometries

#### **All-dielectric nanophotonics**



- Strong resonant response
- Strong field enhancement
- Negligible absorption losses
- Electric and magnetic multipolar resonances
- Diffraction limit unbroken

#### **Recent Development**

Google Scholar search, "dielectric nanoantenna" || "dielectric metamaterial" || "dielectric metasurface" -"metal-dielectric metamaterial"



year

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27

#### **Historical Interlude**

- Mie's paper: 1908
- Gans & Happel, Annalen der Physik, 1909, same equation as in Lewin!
- Schaefer & Stallwitz Annalen der Physik, 1916, 2D (rods)
- Lewin 1946
- Sakurai 1949, "Artificial Matter for electromagnetic wave".
- Bell Labs, etc. (artificial dielectrics): 40's-60's
- Early 2000's, late 90's: Kuester & Holloway (RF), Hasman (near IR), Chang-Hasnain, Lalanne, ...
- Last ~10 years:
  - Visible&Near IR: Kuznetsov, Luk'yanchuk, Evlyukhin, Polman, Kivshar, Brener, Brongersma, Valentine, etc, etc.
  - IR: Brongersma, Sandia, ...
  - RF: Cummer, Gopinath, Lippens, Kuester&Holloway, etc.

Slide by Igal Brener, <u>ibrener@sandia.gov</u> Many thanks to Ed Kuester, CU Boulder For a more comprehensive reference list, see Kuester& Holloway, Antennas and Propagation, IEEE Transactions on 51, no. 10 (2003): 2596, PIER B, vol. 33, p. 175 (2011).

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## More Complex Nanoparticle Shapes

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Anisotropy



Holey structures



Polarization sensitive response

Resonance engineering, near-field accessability Broken symmetries



Resonance coupling, chiral effects

## Influence of the Arrangement

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- Exploit coupling between nanoparticles
- Many degrees of freedom to tailor nanoparticle response

Chains

Dimers



Electric and magnetic field enhancement, mode hybridization

Permyakov *et al., Nano Lett.*15, 2137 (2015).

Directional scattering effects (Dielectric Yagi-Uda nanoantennas)

Krasnok *et al., Opt. Exp.* 20, 20599 (2012).

Oligomers



Fano resonances (narrow linewidths useful for sensing)

Chong *et al., Small* 10, 1985 (2014).

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#### **Dielectric Metasurfaces**

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## Spatially homogeneous metasurface

Disordered metasurface

Goal: we want to work in a non-diffractive regime, where only the zeroth diffraction order is propagating.

For a square lattice, lattice constant b, the first diffraction order at normal incidence appears at  $\lambda_D = n_m b$ 

Mie resonance at  $\lambda_{\mathrm{Mie}} pprox 2n_p a = n_p d_p$  (in vacuum)

Condition:  $\lambda_{\text{Mie}} > \lambda_{\text{D}} \rightarrow n_m b < n_p d_p$ 

 $\rightarrow$  Another reason for high nanoparticle index!

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#### Mie-Resonant 3D Metamaterials?

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Rybin et al., Nat. Commun. 6 10102 (2015).

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#### Abbe Center JENA Silicon Nanodisk Array of Photonics **Priedrich-Schiller-Universität** 35 experiment, x polarization experiment, y polarization simulation 0.8 Transmittance magnetic electric mode mode 0.2 0 1.4 1.49 1.52 1.43 1.46 1.55 Wavelength (µm) etic Mo Electric Mode 400 nm

I. Staude et al., ACS Nano 7, 7824 (2013).

#### **Overlapping the ED and MD Resonances**

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#### Huygens' Metasurfaces





Images adapted from R. Zia

- Huygens' principle: each point on a wave front acts as a secondary source of outgoing waves
- Huygens' source: source radiating the far-fields of a crossed electric and magnetic dipole

#### References

C. Huygens, Traité de la Lumiére, (1690).
A. E. H. Love, *Phil. Trans. R. Soc. Lond. A* 197, 1-45 (1901).

A. D. Yaghjian, European Conf. Antennas Propagat. (EuCap), 856-860 (2009).

F. Monticone, et al., Phys. Rev. Lett. **110**, 203903 (2013).



#### **Theoretical Model**

Understanding the full complex response of the nanodisk metasurface: Coupled electric and magnetic dipole model

Coupled-dipole equations:

$$\mathbf{p}_{l} = \alpha^{E} \left[ \mathbf{E}_{l}^{0} + \frac{k_{0}^{2}}{\varepsilon_{0}} \sum_{j \neq l}^{N} \left( \hat{G}_{lj} \mathbf{p}_{j} + \frac{i}{ck_{0}} [\mathbf{g}_{lj} \times \mathbf{m}_{j}] \right) \right]$$
$$\mathbf{m}_{l} = \alpha^{M} \left[ \mathbf{H}_{l}^{0} + k_{0}^{2} \sum_{j \neq l}^{N} \left( \varepsilon_{d} \hat{G}_{lj} \mathbf{m}_{j} - \frac{ic}{k_{0}} [\mathbf{g}_{lj} \times \mathbf{p}_{j}] \right) \right]$$



A. B. Evlyukhin et al., Phys. Rev. B 82, 045404 (2010).

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39

#### **Theoretical Model**

- For lattice constants smaller than the wavelength of the incident light: capture influence of the array by defining effective electric and magnetic polarizabilities  $\alpha_{eff}^{e}$  and  $\alpha_{eff}^{m}$
- Field transmittance coefficient of the metasurface

$$t = 1 + \frac{ik_d}{2A} (\alpha_{\text{eff}}^e + \alpha_{\text{eff}}^m); \qquad k_d = n_d \omega / c_0$$

• Assume Lorentzian line shapes for the dispersion of  $\alpha_{eff}^{e}$  and  $\alpha_{eff}^{m}$ :

$$\alpha_{\rm eff}^e = \frac{\alpha_0^e}{\omega_{e,0}^2 - \omega^2 - 2i\gamma_e\omega} ; \qquad \alpha_{\rm eff}^m = \frac{\alpha_0^m}{\omega_{m,0}^2 - \omega^2 - 2i\gamma_m\omega}$$

• Determine amplitudes of the effective polarizability:

$$T = \left| t(\omega_{e,m}) \right|^2 = 0 \quad \Rightarrow \quad \alpha_0^{e,m} = \frac{4Ac_0}{n_d} \gamma_{e,m}$$

• Field transmittance coefficient of the metasurface:

$$t = 1 + \frac{2i\gamma_e\omega}{\omega_{e,0}^2 - \omega^2 - 2i\gamma_e\omega} + \frac{2i\gamma_m\omega}{\omega_{m,0}^2 - \omega^2 - 2i\gamma_m\omega}$$

Evlyukhin et al., Phys. Rev. B 82, 045404 (2010), Decker et al., Adv. Opt. Mater. 3, 813 (2015).

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40

#### **Two Individual Dipole Resonances**



#### **Two Individual Dipole Resonances**



#### **Two Matching Dipole Resonances**



E-field vectors at 1700 nm wavelength

#### Huygens' Metasurface Transmittance

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#### **Imprinting Position Dependent Phase**

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- Approximation of 0 2π azimuthal phase gradient by 4 quadrants with equidistant phase differences
- Experimental transmittance efficiency > 70%

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#### Huygens' Metasurface Beam Shaper

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K. Chong, et al., Nano Lett. 15, 5369-5374 (2015). Isabelle Staude Metasurfaces and Mie-resonant nanophotonics



- Good agreement with theory
- Polarization insensitive

#### Huygens' Metasurface Beam Shaper

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Interferometric characterization of the generated beam



#### K. Chong, et al., Nano Lett. 15, 5369-5374 (2015).

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#### Huygens' Metasurface Hologram

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K. Chong, et al., ACS Photonics **3**, 514–519 (2016). Isabelle Staude Metasurfaces and Mie-resonant nanophotonics

#### Depth Imaging

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C. Jin et al., Adv. Photonics 1, 6001 (2019).

#### **Different Phase-Control Approaches**

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#### **Potential of Resonant Metasurfaces**

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- Strong spatial and spectral dispersion
  - Opportunity to tailor frequency / angular sensitive optical response
  - Facilitates tuning/switching
- Resonantly enhanced electromagnetic near-fields
   → enhancement of light-matter interactions
  - Nonlinear optical effects
  - Spontaneous emission

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## Highlight: Nonlinear, tunable and lightemitting dielectric metasurfaces

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## Outline

- Motivation
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#### **Tuning of Metasurfaces**

Tuning approaches:

- Change the metasurface geometry
- Change the embedding material properties
- Change the nanoresonator material properties

Tuning performance:

- Resonance shift  $\Delta \lambda$
- Relative resonance shifts  $\Delta\lambda/\lambda_0$  or  $\Delta\lambda/FWHM$
- Absolute changes in transmittance/reflectance  $(\Delta T, \Delta R)$
- Relative changes in transmittance/reflectance  $(\Delta T/T, \Delta R/R)$

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#### **Active Tuning of Dielectric Metasurfaces**

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#### Mechanical tuning



Optomechanical tuning





Karvounis et al., Appl. Phys. Lett. **107**, 191110 (2015).

Temperature tuning





Rahmani *et al., Adv. Funct. Mater.* **27**, 1700580 (2017).

Gutruf et al., ACS Nano 10, 133 (2016).

Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces

Amsterdam, 21.06.2019

#### **Tunable Dielectric Metasurface Devices**

Tunable metalenses



E. Arbabi *et al., Nat. Commun.* **9**, 812, (2018).



A. She et al., Sci. Adv. 4, eaap9957 (2018).

Tunable beam deflectors





A. Komar et al., ACS Photon. 5, 1742 (2018).

Li *et al.*, arXiv:1901.07742 (2019). Amsterdam, 21.06.2019

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#### Liquid Crystal Dynamic Control

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#### LC Cell Design & Assembly

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Alignment direction defined by illumination of AtA-2 with polarized light (450 ~455 nm)



J. Appl. Spectrosc. 83 (1), 115-120, 2016; A. Muravsky, Next generation of Photoalignment, VDM Verlag, 2009 Observation through two parallel polarizers



One surface coated



Both surfaces coated

C. Zou et al., ACS Photonics 6, 1533 (2019).

#### **Measured Tuning Performance**

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C. Zou et al., ACS Photonics 6, 1533 (2019).

#### **Numerical Simulations**

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C. Zou et al., ACS Photonics 6, 1533 (2019).

Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces

#### **Comparison with Experiment**

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Transmittance

0







Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces

#### A Tunable Metasurface Display

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Images taken at 670 nm;  $E_{inc}$  // LC alignment.

Electrically tunable dielectric metasurface display with ~51% modulation depth in the visible







C. Zou et al., ACS Photonics **6**, 1533 (2019).

#### Ultrafast All-Optical Switching in GaAs MS



#### The Road Ahead

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- Combine tuning, switching and nonlinear response with spatial phase control
  - → nonlinear and (ultrafast) dynamic wavefront control

#### Tuning the Huygens' Regime in the NIR

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#### Polarization || LC alignment direction







Hologram intensity ratio:  $I_{off}/I_{on} = 8.6$ .

C. Zou et al., in preparation (2019).

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## **Light-Emitting Metasurfaces**

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# Consider the metasurface an array of resonant dielectric nanoantennas driven by localized sources

Measured fluorescence count rate from a metasurface with a single emitter placed at the position  $r_{em}$  on it:



A. Vaskin, **R. Kolkowski, A. F. Koendrink,** and I. Staude, *Nanophotonics*, accepted (2019).

#### (Dielectric) Light-Emitting Metasurfaces

- Antenna effect from individual meta-atoms: emission enhancement, spectral and directional emission tailoring
  - Dielectric building blocks: moderate Purcell, high radiation efficiency
- Effect of the array/arrangement
- Shaping emission patterns: form factor, structure/array factor, momentum distribution of the source, previously studied in plasmonic metasurfaces (see example)
  PL Intensity



Zambrana-Puyalto *et al., PRB.* **91,** 195422 (2015).

Lozano et al., Nanoscale 6, 9223 (2014).

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## **Far-Field Emission Calculations**

- Popular methods: finite array simulations, inverse Floquet transformation
- Numerical calculation based on reciprocity principle:
  - Calculate angle-averaged (electric or magnetic) near-field enhancement inside active volume using e.g. the finite element method
  - Employ reciprocity principle  $p_2 \cdot E_1(r_2) = p_1 \cdot E_2(r_1)$



#### **Integration Strategies for Emitters**

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A. Vaskin, R. Kolkowski, A. F. Koendrink, and I. Staude, *Nanophotonics*, accepted (2019).

Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces
## Light Emission from Dielectric Metasurfaces

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I. Staude *et al., ACS Photonics* 2, 172 (2015),
A. Vaskin *et al., ACS Photonics* 5, 1359 (2018).

#### Emission enhancement





#### Lasing



S. T. Ha *et al., Nat. Nanotech.* **13**, 1042 (2018). Amsterdam, 21.06.2019

Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces

## 2 Examples of Light-Emitting MS



#### Monolithic III-V semiconductor metasurfaces incorporating QDs

S. Liu *et al., Nano Lett.* **18**, 6906–6914 (2018).

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#### Integration of QDs into Metasurfaces



S. Liu et al., Nano Lett. 18, 6906–6914 (2018).

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#### The Role of Symmetry for Emission

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S. Liu et al., Nano Lett. 18, 6906–6914 (2018).

#### Asymmetric MS: PL Spectra

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S. Liu et al., Nano Lett. 18, 6906–6914 (2018).

## **Asymmetric MS: Emission Pattern**

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NA = 0.65



S. Liu *et al., Nano Lett.* **18**, 6906–6914 (2018).

## 2 Examples of Light-Emitting MS

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#### Silicon metasurfaces hybridized with twodimensional semiconductors

T. Bucher *et al., ACS Photonics* **6**, 1002-1009 (2019).

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Amsterdam, 21.06.2019

## **Fabrication of Hybrid Structures**

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MoS<sub>2</sub> monolayers asgrown by CVD

T. Bucher et al., ACS Photonics 6, 1002-1009 (2019).

## Fabricated Hybrid Structures

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# Fabrication of a series of metasurfaces with a variation of the nanocylinder diameter D

T. Bucher et al., ACS Photonics 6, 1002-1009 (2019).

## Photoluminescence of Hybrid Structures



#### T. Bucher et al., ACS Photonics 6, 1002-1009 (2019).

 Confocal PL microscopy (NA 0.65), reflection configuration

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- 532nm pulsed laser excitation
- Effect of the metasurface:
  - PL enhancement by a factor of 5-8
  - Spectral broadening
  - Blue shift of the emission maximum
- But: no strong dependence on diameter → negligible photonic effect

### **Back-Focal Plane Imaging of Emission**

 $\begin{array}{c} P_{y}/k_{0} \\ P_{z}=240 \text{ im} \\ 0.73$ 

 Coupling to metasurface induces a reshaping of the emission pattern

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- The more resonant the structure, the more directional the emission becomes
- Tailoring 2D-TMDC emission properties by engineering the combined photonic, electronic and topographic environment
- Care must be taken when interpreting PL enhancement effects

T. Bucher et al., ACS Photonics 6, 1002-1009 (2019).

## The Road Ahead

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- Enhance complexity of spatial emission patterns
- Dynamic control of the emission pattern
- Explore different implementations
- Electrical driving schemes?
- Exploit valley-dependent directional coupling

Image: A. Vaskin, R. Kolkowski, A. F. Koendrink, and I. Staude, Nanophotonics, accepted (2019).

#### **Recent Review Articles**

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nature

photonics

Journal of Physics D: Applied Physics

UNCORRECTED PROOF

A Carl 10 (2016) 102001 (21cm)

AN 10 118 204-875 18 10 1284

Topical Review

#### Resonant dielectric nanostructures: a lowloss platform for functional nanophotonics

#### Manuel Decker' and Isabelle Staude'

<sup>1</sup>Nandinuar Physics Comer, Bounch School of Physics and Engineering, Anemalan National University, Cambras, 2001 ACT Assessing <sup>3</sup>Institute of Applied Physics, Abbe Comer of Photonian, Hindrich-Schlär University Res, 97743 Iona, Germany

#### E-mail induction and the internal of

Received 11 August 2015, novied 3 June 2018 Accepted for publication 21 June 2018 Published 8 September 2016



Abstract This arcives exerviews the state of the art of research into high-index delectric nanoresimators and their use in functional photonic summarizatures at optical frequencies. We start by providing the motivations for this metacult area and by partiag 4 into context with the more well-

#### Review

Aleksandr Vaskin, Radoslaw Kolkowski, A. Femius Koenderink, and Isabelle Staude\*

Light-emitting metasurfaces

M. Decker and I. Staude, J. Opt. **18**, 103001 (2016).

I. Staude und J. Schilling, Nature Photon. 11, 274–284 (2017).

A. Vaskin, R. Kolkowski, A. F. Koenderink, and I. Staude, "Light-Emitting Metasurfaces", *Nanophotonics*, accepted (2019).

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C. Zou, J. Sautter, F. Setzpfandt, and I. Staude, "Resonant Dielectric Metasurfaces – Active Tuning and Nonlinear Effects", J. Phys. D: Appl. Phys. accepted (2019).

#### Metamaterial-inspired silicon nanophotonics

Isabelle Staude<sup>1</sup> and Jörg Schilling<sup>2\*</sup>

REVIEW ARTICLE

ED ONLINE 28 APRIL 2017 | DOI: 10.1038/NPHOTON.2017.01

The prospect of creating metamaterials with optical properties greatly exceeding the parameter space accessible with natural materials has been inspiring intense research efforts in nanophotonics for more than a decade. Following an era of plasmonic metamaterials, low-loss dielectric nanostructures have recently moved into the focus of metamaterial-related research. This development was mainly triggered by the experimental observation of electric and magnetic multipolar Mie-type resonances in high-refractive-index dielectric nanoparticles. Silicon in particular has emerged as a popular material choice, due to not only its high refractive index and very low absorption losses in the telecom spectral range, but also its paramount technological

**Topical Review** 

J. Phys. D. Appl. Phys. 66 (2018) 000000 (25)(6)

**10P** Publishing

#### Resonant dielectric metasurfaces: active tuning and nonlinear effects

Chengjun Zou, Jürgen Sautter, Frank Setzpfandt and Isabelle Staude®

Institute of Applied Physics, Albe Center of Photonics, Friedrich Schiller University Jena, Germany

#### **Current Team & Funding**

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Isabelle Staude

Highlight: Nonlinear, tunable and light-emitting dielectric metasurfaces